

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.: 10/658,530 Confirmation No. N/A

Applicant : K. IWAMITSU et al

Filed: September 10, 2003

Title : STORAGE SYSTEM AND A METHOD FOR DIAGNOSING

FAILURE OF THE STORAGE SYSTEM

TC/AU : TBD

Examiner : TBD

Docket No.: 500.43115X00

Customer No.: 24956

Commissioner for Patents

Mail Stop DD P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (IDS) UNDER § 1.97 AND § 1.98

Sir:

1. This IDS should be considered:

- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is

Appl. No. 10/658,530 Information Disclosure Statement dated January 12, 2005

included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 to cover the petition fee, or if not see section 5 below).

- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.
- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS.
- 4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 5. No explanation of relevancy is being provided for the following document(s) because each is either in the English language, discussed in the present Specification, or its relevance is as stated in a communication from a foreign patent office in a counterpart foreign application.
- 6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

MATTINGLY, STANGER & MALUR

Shrinath Malur Reg. No. 34,663

(703) 684-1120

Вy

•	10	JAH	.9				Sheet	: <u>1</u>	of <u>1</u>
FORM PTO-1440 (REV. 7-80) PATENT AND TRADEMARK OFFICE					DE ATTY. DOCKET NO. SERIAL NO. 10/658,530				
ľ		OF DOCUMEN			APPLICANT K. IWAMITSU et al				
(Use several sheets if necessary)					FILING DATE GROUP September 10, 2003				
				U.S. PATEN	IT DOCUMENTS	· ·			
* EXAMINER INITIAL		DOCUMENT	DATE	<u> </u>	NAME	CLASS	SUBCLASS		DATE
INITIAL	AA	6,128,750	10/03/00	Espy et al				(п Аррі	opriate)
	┢	6,598,174	07/22/03	Parks et al					
	<u> </u>	6,725,293	04/20/04	Nakayama et	al				
		2003/	07/17/03	Matsunami et					
	AE	2003/	10/02/03	Lubbers et a	1				
	AF	0188233					-		
	AG								
***	AH								
	Al								
	AJ								
	AK				· · · · · · · · · · · · · · · · · · ·				
			L	FOREIGN PAT	ENT DOCUMENTS			[
		DOCUMENT	DATE		COUNTRY		SUBCLASS	TRANS	LATION NO
	AL								
	АМ								
	AN								
	AO								
	AP								
			OTHER DOCU	JMENTS (Including A	Author, Title, Date, Pertinent	Pages, etc.)			•
	AR								
	AS								
		-							
	ΑT						-		
EXAMINER					DATE CONSIDERED				
* EXAMINER	R: In:ti	al if reference consider applicant.	ed, whether or not cita	tion is in conformance with MP	EP 609; Draw line through citation if not in	o conformance and no	t considered. Includ	e copy of th	is form with